



National Forum for Background Checks

Forum Meeting

October 6, 2017



Webinar Purpose and Desired Outcome



Purpose: Meeting will provide updates on various Forum activities, 2018 Forum leadership assignments; Review status on NNAR and CMS request for input on Forum role in expansion

Outcomes: Information conveyed, feedback received; endorsement of NNAR exploration.



Agenda

- 13:30 - 13:35 Member roll call and meeting objectives
- 13:35 - 13:45 Web-Ex check
- 13:45 - 13:53 Quick hits
- 13:53 - 13:55 CMS quarterly webinar status
- 13:55 - 14:00 CMS comments: home care agency screening regulations
- 14:00 - 14:03 Topic write-ups
- 14:03 - 14:05 Forum leadership nominations
- 14:05 - 14:25 National Nurse Aide Registry
- 14:25 - 14:30 Wrap-up: critical questions & next steps



Web-Ex Check

- ▶ Any problems?
- ▶ This system requests your caller ID when you call in and then syncs your phone to your log-in. \

Speaking: Susan Daniels, James Joslin-OK



- ▶ Did any users use the call back feature? Any problems?
- ▶ When you call in please use full name and state
James Joslin – OK



Quick Hits

- ▶ NBCP quarterly update – CNA is requesting articles for graduated states on their activities
- ▶ Forum white paper: Federal Requirements for Background Checks in Nursing Home, Home Health and Hospice Agency Employment
- ▶ Grant solicitation closing announced: December 2017
- ▶ Planning session and conference session notes
- ▶ Transition of forum web content to AHFSA website



NBCP Quarterly Webinar

- ▶ Date: Thursday, October 26, 3:00-4:30 EST
- ▶ Graduated states invited
- ▶ Topic: updates (ACF Meeting) and contracting
- ▶ FBI rap-back held for possible use at the next webinar

CMS Comments: Home Care Agency Screening Regulations



- ▶ Federal Register published January 13, 2017
- ▶ **Comment:** ... background checks should be done for all staff members, especially those who plan to go to a patient’s home to deliver health care. ... CMS should require reasonable and appropriate standards for criminal background screenings and ... should be required for all owners, operators, or employees ...
- ▶ **Response:** The [NBCP], ... aims to create a nationwide system for conducting comprehensive background checks on applicants for employment by ... LTC ... providers. The term “long-term care facility or provider” means ... home health agency, provider of hospice care, ... and any other facility or provider of long-term care services as the participating state determines appropriate. ... The intent of the NBCP is to set-up a **standard**, effective, and economical program to conduct background checks that also includes fingerprint-based criminal history checks. ... (CMS) administers the NBCP. ... **We believe that this comprehensive program that fosters consistency in implementation is a preferable way to improve the volume and scope of background checks that are conducted for HHA employees and contractors.**
- ▶ <https://www.gpo.gov/fdsys/pkg/FR-2017-01-13/pdf/2017-00283.pdf>



Topic Write-Ups

- ▶ Please provide a one paragraph write-up for your State on one or more of these:
 - ▶ Document the screening program relationship to State NAR, survey process, and Medicaid
 - ▶ Show how screening program can support other mission areas
 - ▶ CHRI research techniques and documentation tools
 - ▶ Consider in-state and out-of-state?
 - ▶ Provide resource for new national CHRI requirement (e.g., child care)
 - ▶ Performance and data reporting
 - ▶ Show success and value to internal and external stakeholders
 - ▶ State experiences → best practices
 - ▶ Training tools and techniques
 - ▶ Share information, ideas, resources
 - ▶ e.g., how train on Core system enhancements



Forum Leadership

- ▶ **Forum Chair: James Joslin**
 - ▶ Develop agenda and facilitate forum meetings/webinars
 - ▶ Represent Forum to AHFSA, CMS, CNA, Compact Council, etc.
 - ▶ Approve document & web postings
- ▶ **Forum Vice Chair for Advocacy and Outreach: Meghan Shears**
 - ▶ Represent Forum to AHFSA, CMS, CNA, Compact Council, etc.
 - ▶ Support outreach to NBCP and non-NBCP States
 - ▶ Initiative management and development
- ▶ **Forum Vice Chair for Communications: Scott Chisholm**
 - ▶ Webinar schedule, webinar slides, meeting summaries
 - ▶ Approve document & web postings
 - ▶ Web site management
- ▶ **Forum Vice Chair for Conference Planning: Taylor Haddock**
 - ▶ Coordinate State input on topic areas for conference/webinar presentation
 - ▶ Planning for 2018 conference



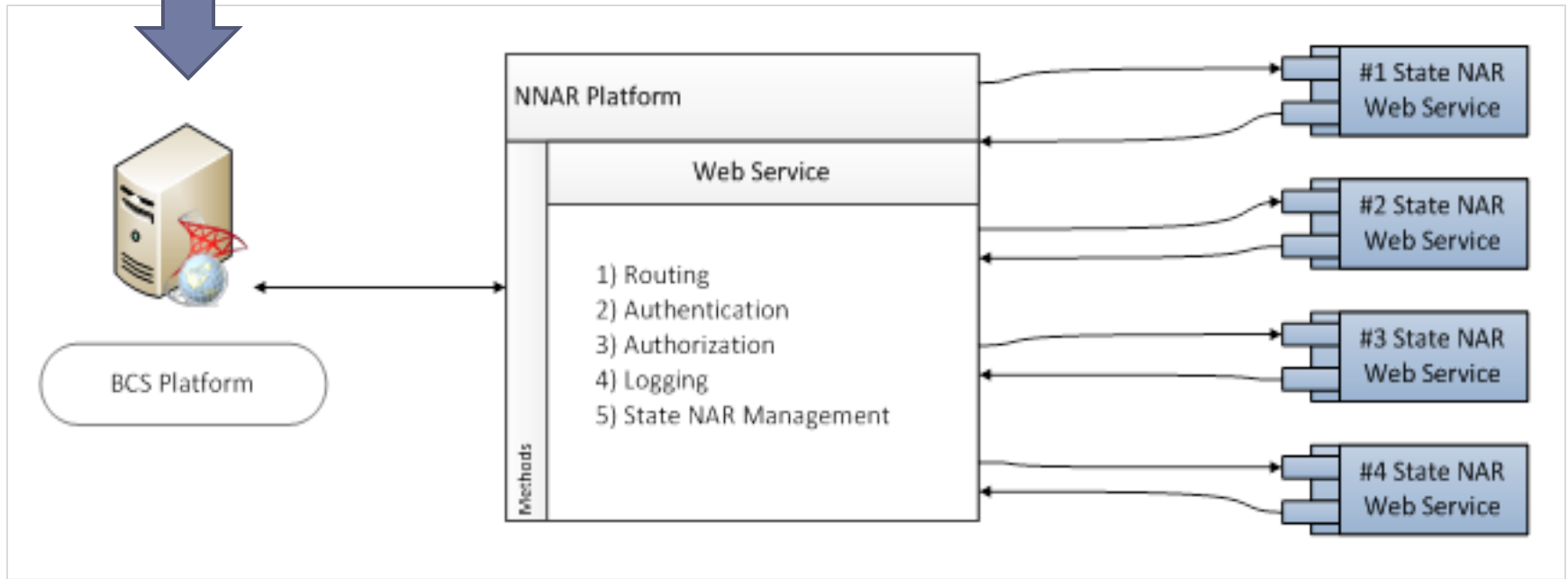
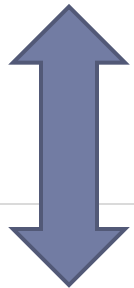
CMS Wants to Know

- ▶ CMS is considering offering technical support to graduated and non-grantee states to connect to NNAR and MED-FAC files
- ▶ CMS approached the Forum about issuing a query to AHFSA members for their interest in participation
- ▶ CMS asked, what is long term plan for NNAR?
- ▶ CMS implied, what is the Forum's interest in maintaining the NNAR?

NBCP Nurse Aide Registry Model



NBCP State
Background Check
System Workflows



Registry Page Wireframe – If No Match



Home Applications Determinations Appeals Employees Search Reports Reference Admin				
Add New Not Yet Submitted Determination In-Process Determination Available Criminal History Appeals Application Forms Registry Recheck				
Applicant: Research Registries				
<p><i>This Is NNAR, 123-99-8873, 12/1/1975, Application #: 16983</i> No recorded aliases.</p>				
Registry Name	Research Requirements	Research Results	Research Completed	Comments
DC Nurse Aide Registry	Manual Search Required	<input type="text"/>		<input type="text"/> View (0) <input type="button" value="Add"/>
DC Professional Licenses	Manual Search Required	<input type="text"/>		<input type="text"/> View (0) <input type="button" value="Add"/>
MD Nurse Aide Registry	Manual Search Required	<input type="text"/>		<input type="text"/> View (0) <input type="button" value="Add"/>
National Nurse Aide Registry	Automatch performed, no matches found <input type="button" value="Recheck Registry"/>	<input type="text"/>		<input type="text"/> View (0) <input type="button" value="Add"/>

National Nurse Aide Registry Results



State NAR	Checked Indicator	Applicant Match	First Name	Last Name	Date of Birth	Confirm	Match Type
UT	Searched	No	John	Adams	01/02/1980	Confirm <input checked="" type="radio"/> Ignore <input type="radio"/>	N/A
NM	Searched	No	Jerry	Lewis	06/22/1976	Confirm <input type="radio"/> Ignore <input checked="" type="radio"/>	N/A
DC	Searched	Yes	Jeannie	Potter	12/01/1975	Confirm <input checked="" type="radio"/> Ignore <input type="radio"/>	SSN Match

Disclaimer:

These auto-match results are based on the [applicant's name] or [applicant's SSN].

Name: Jeanne Potter

Date of Birth: 12/01/1975

Address: 7140 Anywhere, Town, DC, 12334

License Status: Revoked

Effective Date: 12/31/2013

Expiration Date: 12/31/2014

Findings:

As of Date: 04/02/2013

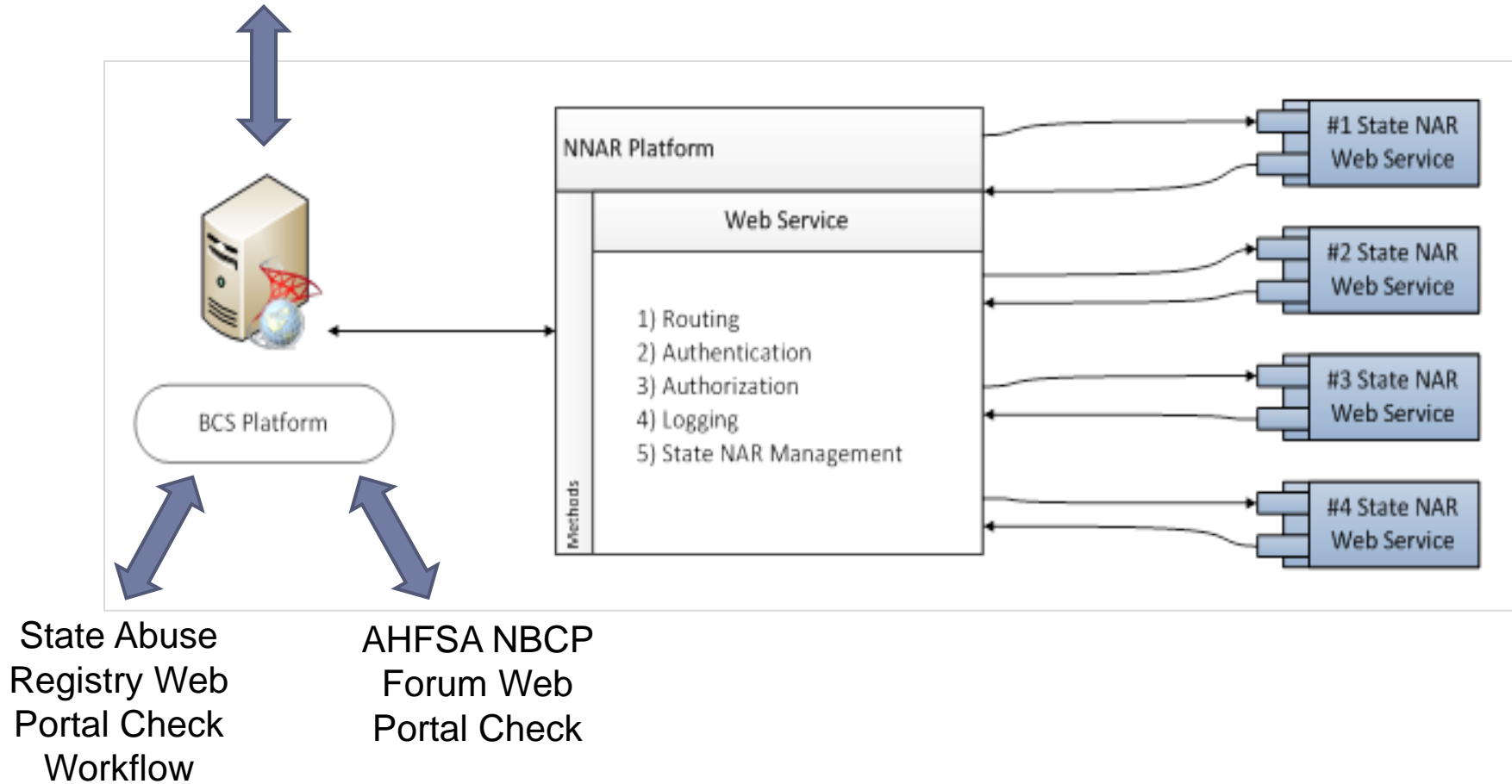
CA	Error	N/A	N/A	N/A	N/A	N/A	N/A
FL	Not Configured	N/A	N/A	N/A	N/A	N/A	N/A

OK

AHFSA – NBCP Forum National Nurse Aide Registry Model



NBCP State Background
Check System Workflows





Oklahoma Nurse Aide Registry

Contact | A-Z Health Index | Events & Meetings

OSDH Home > Protective Health > Health Resources Development Service > Nurse Aide and Nontechnical Services Worker Registry

[email](#) | [print](#)

Verify a Nurse Aide is on the Registry

Registry of Abuse, Neglect, Misappropriation and Conviction Findings

Office of Inspector General List of Excluded Individuals/Entities

Feeding Assistants

Activities Director and Social Services Director Courses

Nurse Aide Registry Applications and Forms

Nurse Aide Training Program Resources

Nurse Aide Temporary Emergency Waiver Application

Uniform Employment Application for Nurse Aide Staff

Statement on Health Equity

Nurse Aide and Nontechnical Services Worker Registry

The Registry was created through a federal mandate and regulations dated September 1991. The duties of the nurse aide registry include: (1) review and approve/disapprove nurse aide training program curriculum; (2) review and approve/disapprove nurse aide training programs; (3) review and approve/disapprove nurse aide testing; (4) develop and maintain the nurse aide and non-technical services worker registry (5) maintain the [abuse registry](#); (6) certify nurse aides; (7) provide public education; and (8) develop rules, policies, procedures, applications and forms necessary to implement the program.

The Nurse Aide Registry serves unlicensed persons and employers of these persons, who provide nursing or nursing-related services to individuals receiving services in long term care facilities, home health agencies, intermediate care facilities for the cognitive or intellectual disability, residential care facilities, and adult day care centers.

"Nurse aide" means any person who provides, for compensation, nursing care or health-related services to residents in a nursing facility, a specialized facility, a residential care home, continuum of care facility, assisted living center or an adult day care center and who is not a licensed health professional. Such term also means any person who provides such services to individuals in their own homes as an employee or contract provider of a home health or home care agency, or as a contract provider of the Medicaid State Plan Personal Care Program [Title 63 O.S. Section 1-1950.1(A)].

Nurse aide certifications:

Listed below are the SIX types of Certified Nurse Aides:

- Long Term Care Aide
- Home Health Aide
- Developmentally Disabled Direct Care Aide
- Residential Care Aide
- Adult Day Care Aide
- Certified Medication Aide



AHFSA NBCP Forum Web Portal Check



Q Enter search string

Email

Password

Login

Remember me

[Forgot password](#)

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Protecting Vulnerable Populations

AHFSA NBCP Forum Web Portal Check



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NNAR Web Portal

Nurse Aid Registry Search

Last Name and Aliases (separate by comma):

First Name:

Date of Birth:

Social Security Number:

WIREFRAME
MOCK-UP
ONLY

National Nurse Aide Registry Results



State NAR	Checked Indicator	Applicant Match	First Name	Last Name	Date of Birth	Confirm	Match Type
UT	Searched	No	John	Adams	01/02/1980	Confirm <input type="radio"/> Ignore <input type="radio"/>	N/A
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National Association for Public Health Statistics and Information Systems (NAPHSIS)

The EVVE system, owned and operated by NAPHSIS, allows immediate confirmation of the legitimacy of a US birth certificate presented by an applicant to a government office anywhere in the nation. Authorized EVVE users send an electronic query to any participating vital records jurisdiction to either verify the contents of a paper birth certificate or to request an electronic certification instead of the paper birth certificate. An electronic response from the participating vital records jurisdiction either verifies or denies the match with the official records. The EVVE system will also flag responses in which the person matched is actually deceased, an important step that prevents fraud.

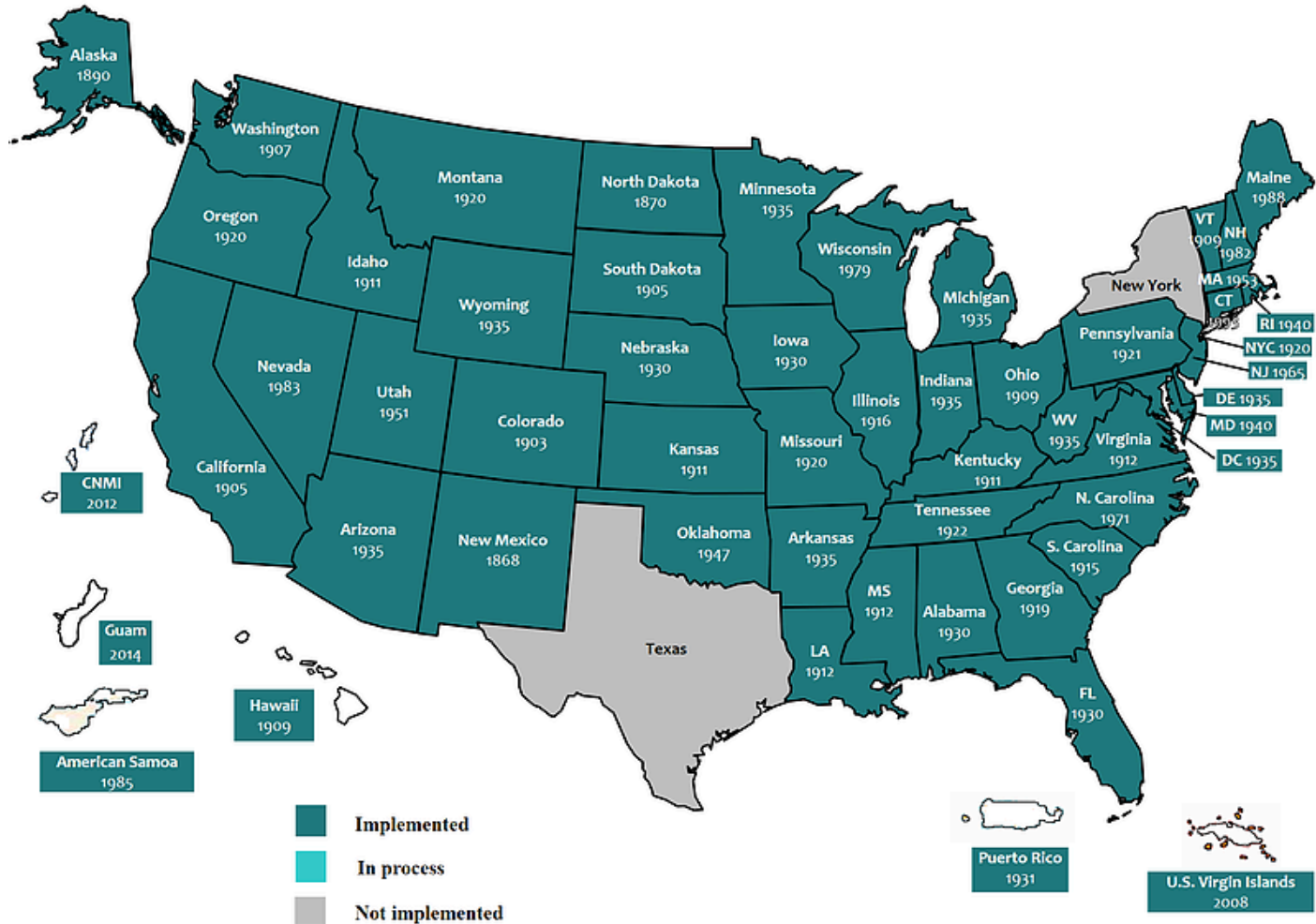
HOW EVVE WORKS



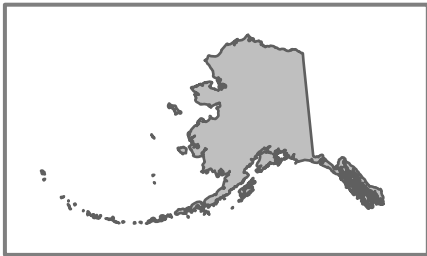
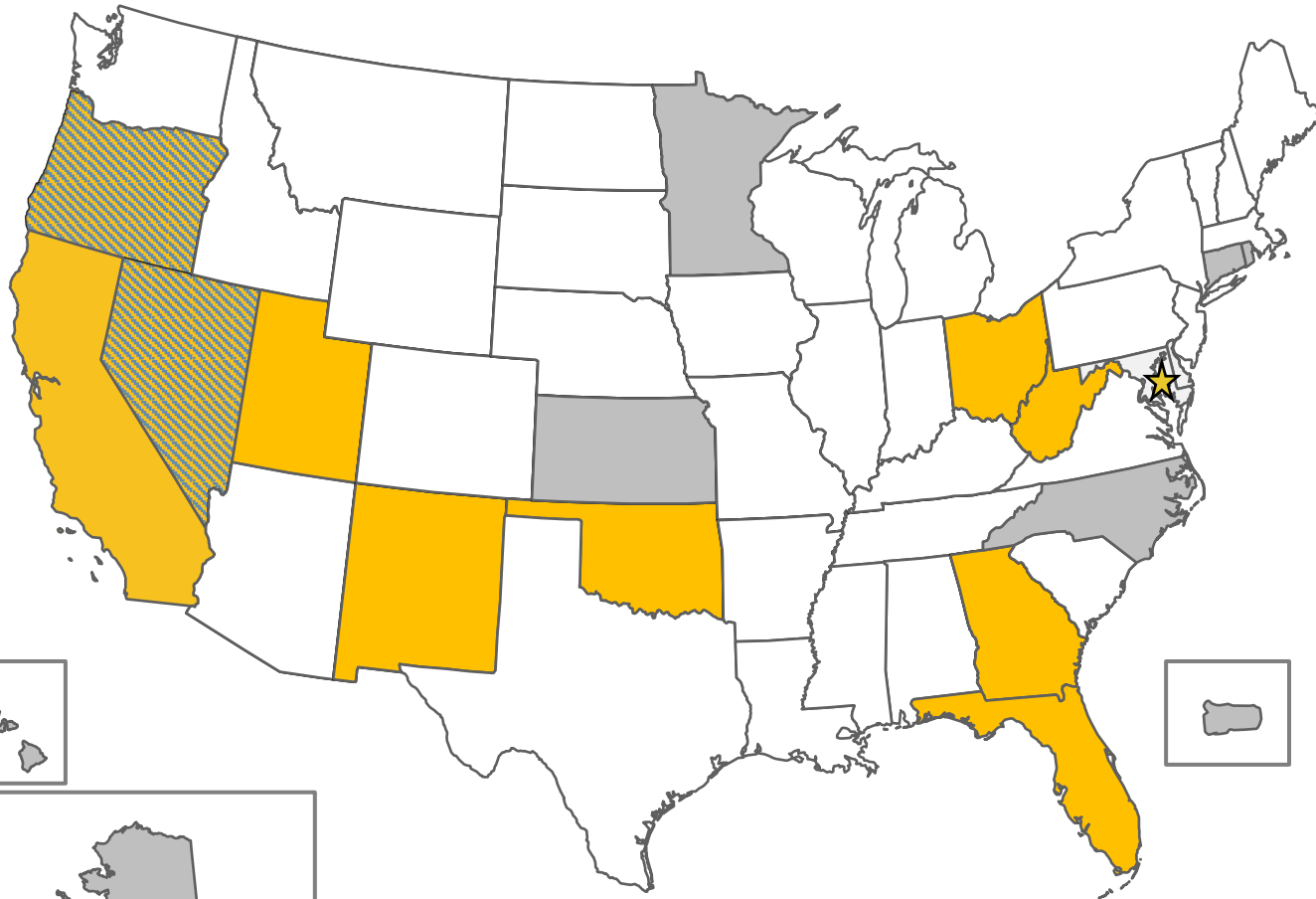


EVVE MAP

The following map illustrates the current NAPHSIS capabilities



NBCP Nurse Aide Registry (NNAR)



States Participating in the NBCP NAR (8): CA, DC, GA, FL, NM, OH, OK, UT, WV
State in Development for the NBCP NAR: NV, OR
States Planning to Participate (9): AK, CT, HI, KS, MN, NC, NV, PR

The Forum Wants to Know



- ▶ Two models:
 - ▶ AHFSA contracting for maintenance of routing server hosted by 3rd party vendor
 - ▶ As described above, AND establishing a web portal maintained by AHFSA or the same 3rd party vendor.

- ▶ Questions submitted to CNA and CMS:
 - ▶ Anticipated annual cost of contracting for maintenance of a routing server hosted and maintained by 3rd party vendor?
 - ▶ Anticipated cost of creating a web portal to query the NNAR server that would then be maintained by AHFSA?
 - ▶ Anticipated cost of creating a web portal to query the NNAR server that would then be maintained and hosted by 3rd party vendor?
 - ▶ Anticipated cost and/or cost structure, in addition to hosting, for technical support services to assist states in connecting to the routing server?
 - ▶ Would CMS support states billing an AHFSA dues assessment for this service as part of their NAR programming costs under Medicare and Medicaid?

- ▶ Others?



NNAR Next Steps

- ▶ Forum will frame the question to States seeking their interest in participating
- ▶ Forum will distribute question through AHFSA list server
- ▶ Forum will get responses to the questions submitted to CNA and CMS
- ▶ Forum will examine available funding options
 - ▶ Grant dollars for start-up (Civil Money Penalty Fund Grants?)
 - ▶ Medicare and/or Medicaid funds for AHFSA dues assessment
 - ▶ Per transaction assessment
- ▶ Forum will make a formal proposal to AHFSA

Critical Questions & Next Steps



- ▶ Critical questions
- ▶ Next steps/action items
- ▶ Additional suggestions
- ▶ Adjourn until November 3, 2017; 1:30 PM CST

