



# National Forum for Background Checks

Forum Meeting

August 9, 2018



# Webinar Purpose and Desired Outcome

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**Purpose:** Meeting will provide updates on various Forum activities including CMS updates, CNA program activities, NBCP NAR implementation status, and Forum conference planning.

**Outcomes:** Information conveyed, feedback received.



# Agenda

- 13:30 - 13:35 Member roll call and meeting objectives
- 13:35 - 13:45 CMS NBCP Update
- ▶ NBCP State Status
  - ▶ OIG Update
  - ▶ Reporting Update for Grantee States
  - ▶ MED File Pilot
- 13:45 - 14:10 CNA TA Update
- ▶ AHFSA Panel Presentation Development
  - ▶ FBI Fingerprint-based fees increase
  - ▶ State Status Map
  - ▶ NBCP Nurse Aide Registry
- 14:10 - 14:25 Forum Track at AHFSA Conference
- 14:25 - 14:30 Wrap-up: critical questions & next steps



# Quick Hits

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- ▶ FBI Rap-back running in Utah: Speaker in upcoming webinar

# CMS NBCP Updates



- ▶ NBCP State Status
  - ▶ Georgia & Minnesota graduated last month
  - ▶ 21 graduated States
  - ▶ 8 active NBCP States
- ▶ OIG Update
- ▶ Reporting Update for Grantee States
  - ▶ Revised Federal Financial Report Template (SF425)
    - ▶ Form fillable PDF
    - ▶ Available on the NBCP web site:  
<http://bgcheckinfo.cna.org/resources/useful-references-and-templates/quarterly-reports>



# SF425 Federal Financial Report



## Federal Financial Report (Follow form instructions)

OMB Number: 4040-0014  
Expiration Date: 01/31/2019

1. Federal Agency and Organizational Element to Which Report is Submitted [Redacted]		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) [Redacted]	
3. Recipient Organization (Name and complete address including Zip code) Recipient Organization Name: [Redacted] Street1: [Redacted] Street2: [Redacted] City: [Redacted] County: [Redacted] State: [Redacted] Province: [Redacted] Country: USA: UNITED STATES ZIP / Postal Code: [Redacted]			
4a. DUNS Number [Redacted]	4b. EIN [Redacted]	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) [Redacted]	
6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input type="checkbox"/> Accrual	8. Project/Grant Period From: [Redacted] To: [Redacted]	9. Reporting Period End Date [Redacted]
10. Transactions (Use lines a-c for single or multiple grant reporting)			Cumulative
Federal Cash (To report multiple grants, also use FFR attachment):			
a. Cash Receipts			0.00
b. Cash Disbursements			0.00
c. Cash on Hand (line a minus b)			0.00
(Use lines d-o for single grant reporting)			
Federal Expenditures and Unobligated Balance:			
d. Total Federal funds authorized			0.00
e. Federal share of expenditures			0.00
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			0.00
h. Unobligated balance of Federal Funds (line d minus g)			0.00
Recipient Share:			
i. Total recipient share required			0.00
j. Recipient share of expenditures			0.00
k. Remaining recipient share to be provided (line i minus j)			0.00
Program Income:			
l. Total Federal program income earned			0.00
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			0.00
o. Unexpended program income (line l minus line m or line n)			0.00

11. Indirect Expense						
a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
g. Totals:					[Redacted]	[Redacted]
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: [Redacted] <a href="#">Add Attachment</a> <a href="#">Delete Attachment</a> <a href="#">View Attachment</a>						
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise, (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).						
a. Name and Title of Authorized Certifying Official						
Prefix:	First Name:	Middle Name:	[Redacted]			
Last Name:		[Redacted]		Suffix:		[Redacted]
Title: [Redacted]						
b. Signature of Authorized Certifying Official				c. Telephone (Area code, number and extension)		
[Redacted]				[Redacted]		
d. Email Address				e. Date Report Submitted		14. Agency use only:
[Redacted]				[Redacted]		



# CMS NBCP Updates

- ▶ MED File Pilot
  - ▶ See NBCP Bulletin from July on NBCP website
  - ▶ CMS contacts for graduated States interested in obtaining access to the MED File:
    - ▶ Cheryl Cooper: Cheryl.Cooper@cms.hhs.gov
    - ▶ Dionna Fair-Latta: Dionna.Fair-Latta@cms.hhs.gov
    - ▶ States can also submit questions and send addendum forms to the MED mailbox at [MED@cms.hhs.gov](mailto:MED@cms.hhs.gov)
  - ▶ Active grantee States may contact their CNA State Liaison

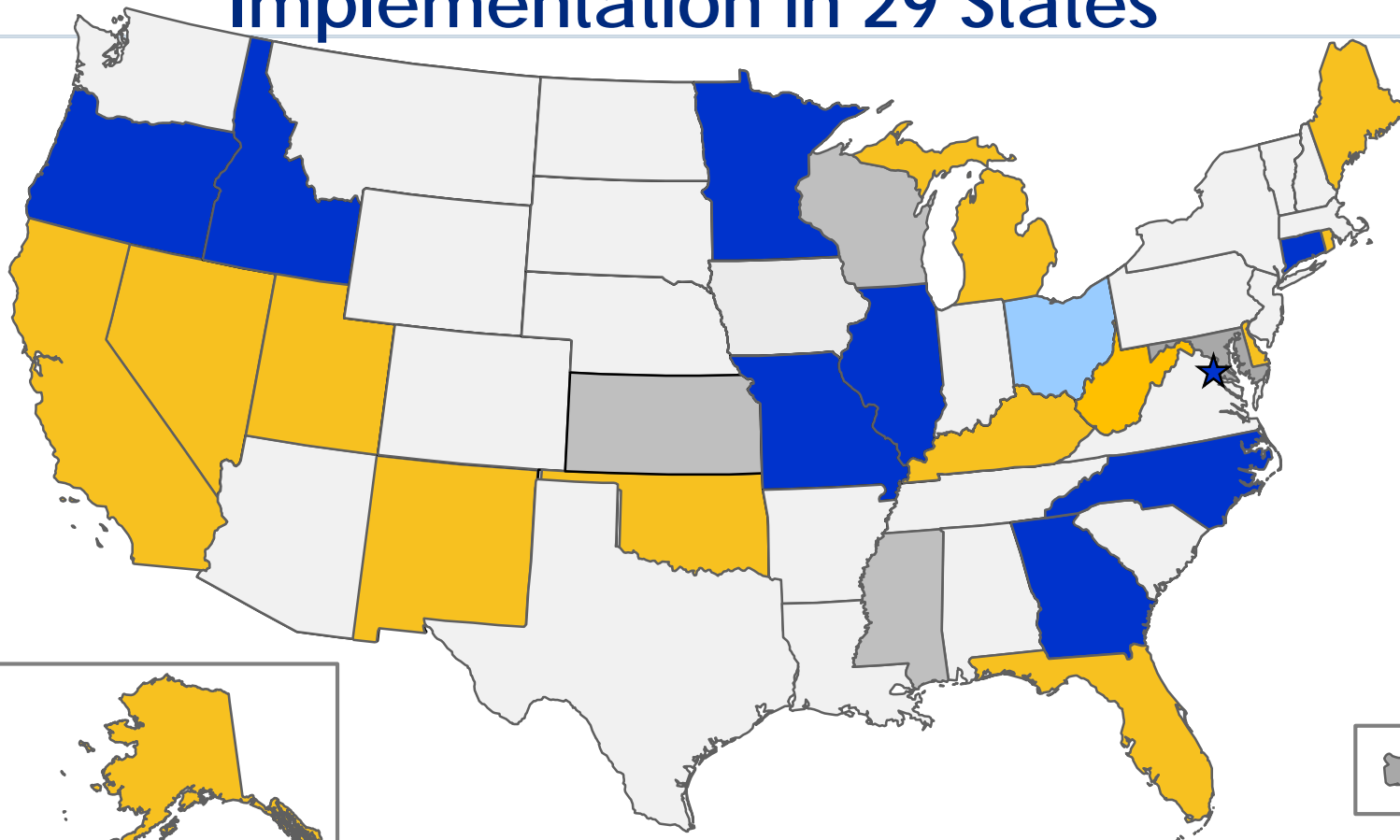


# CNA NBCP TA Updates

- ▶ Working with Forum States and Forum Leadership on AHFSA Panel Presentation Development
- ▶ FBI Fingerprint-based fees increase
  - ▶ Currently \$12.00
  - ▶ Increasing to \$13.25 effective January 1, 2019
  - ▶ FBI Memo on NBCP Web site:  
<http://bgcheckinfo.cna.org/resources/useful-references-and-templates/fbi-information#StatutoryAuthority>
- ▶ State Status Map



# National Background Check Program Implementation in 29 States



	States in Planning & Development: KS, MD*, MS, PR, WI
	States in Pilot Phase: HI
	State Live w/Implementation of Registries and Rap Back: <b>OH</b> (not integrated)
	States Live w/Implementation of Registries and Integration of Criminal History Record Information (CHRI): CT*, <b>DC*</b> , <b>GA*</b> , ID, IL*, MO* (name-based), MN*, NC*, <b>OR</b>
	States Live w/Implementation of Registries, Integration of CHRI and Statewide Rap Back: AK*, <b>CA*</b> , DE*, <b>FL*</b> , KY* (voluntary), ME* (name-based), MI*, <b>NM*</b> , <b>NV*</b> , <b>OK*</b> , RI*, <b>UT*</b> , <b>WV*</b>
	*= State Graduated from NBCP

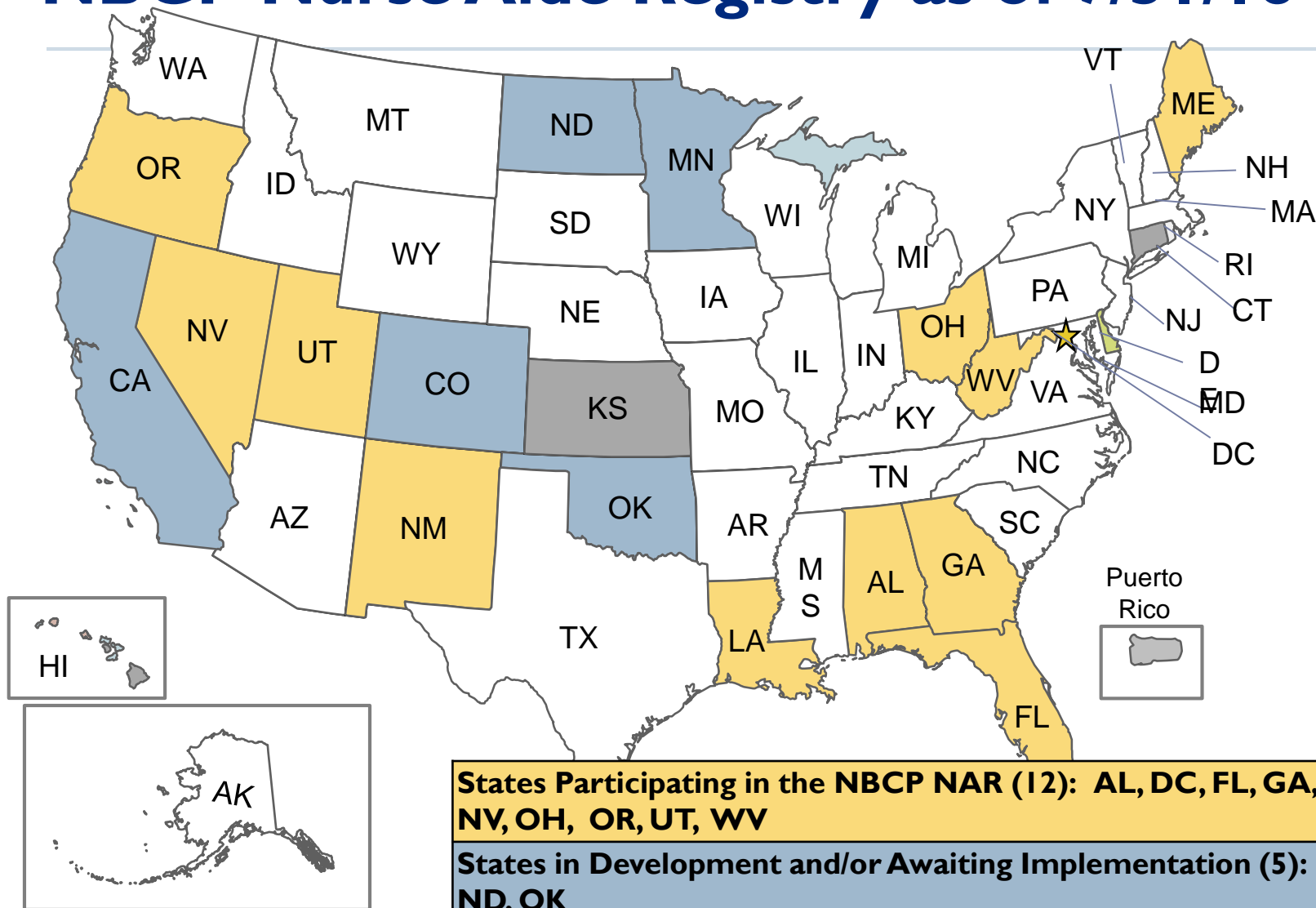
**Bold= NBCP Nurse Aide Registry State**



# CNA NBCP TA Updates, Cont.'

- ▶ NBCP Nurse Aide Registry (NAR)
- ▶ During the reporting period, CNA continued to maintain the NBCP NAR platform and expand the it to include additional States:
  - ▶ ND and CO are in development and implementation is expected by September 15, 2018.
  - ▶ CA, OK, and MN are developed and are awaiting implementation.
  - ▶ As of August 6, 2018, AL, DC, FL, GA, LA, ME, NM, NV, OH, OR, UT, and WV are returning data to participating NBCP NAR states.

# NBCP Nurse Aide Registry as of 7/31/18



**States Participating in the NBCP NAR (12): AL, DC, FL, GA, LA, ME, NM, NV, OH, OR, UT, WV**

**States in Development and/or Awaiting Implementation (5): CA, CO, MN, ND, OK**

**States Planned for Development This Year (4): CT, HI, KS, PR**



# NBCP Nurse Aide Registry – Impact



State	Match Count June 2018	Call Count June 2018	Match Count July 2018	Call Count July 2018	Match Count Total 8/4/17-7/31/18	Call Count Total 8/4/17-7/31/18
DC	1	1,553	4	1,529	59	16,595
FL	33	34,924	30	33,075	37,213	344,906
GA	0	311	0	0	25	3,638
NM	16	9,437	0	9,152	211	98,837
NV	1	4,289	4	3,912	6	15,979
OH	5	5,249	1	4,950	86	36,954
UT	0	4,960	0	4,162	213	43,253
WV	10	12,202	20	12,131	229	64,347
<b>Totals</b>	<b>66</b>	<b>72,925</b>	<b>59</b>	<b>68,911</b>	<b>38,042</b>	<b>624,509</b>

\*The match count is the number of times that the NNAR returned a match. The match count includes both in-State Nurse Aide Registries as well as all other participating NNAR State match results. In the future, enhanced reporting functionality will include the ability to record where the matches came from (in-State or out-of-State).

\*\*The call count is the number of times during the listed period that a State called out TO the NNAR.

\*\*\* 8/4/17 is the date that reporting capability became available,

# Forum Track at AHFSA Conference



- ▶ Review of Conference Forum Track
- ▶ Where: Tigard, Oregon; Embassy Suites
- ▶ When: September 23<sup>rd</sup> through 26<sup>th</sup>.
- ▶ Who: anyone interested in background screening initiatives for vulnerable populations
- ▶ Registration is open
- ▶ For registration and rooms:  
<http://www.ahfssa.org/AHFSA-2018-Annual-Conference>

# Critical Questions & Next Steps



- ▶ Critical questions
- ▶ Next steps/action items
- ▶ Adjourn until September 13, 2018; 1:30 PM CST

